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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tetsujiro Tada
Serial No.: 10/616,574
Filed: July 9, 2003
For: APPARATUS FOR AND
METHOD OF
SMOOTHING
SUBSTRATE SURFACE
Group Art Unit: 3723
Examiner: Unknown
Attorney Docket: NMCI P040

CERTIFICATE OF MAILING

Date of Deposit: September 14, 2004

I hereby certify that this correspondence is, on the date shown above, being:

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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98

Commissioner for Patents
Alexandria, Virginia 22313

Sir:

The following Form 1449 and copies of documents listed thereon are being filed herewith as a Disclosure Statement. Consideration of each of these documents by the Patent Examiner, and the making of each of them of record in the file of this application, are respectfully requested.

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

An English translation of the abstract part of two of the cited references is submitted. No English translation of the third of the cited references is available.

All these references were cited by the Japanese Patent Office for rejecting the application on the ground of obviousness, the examiner stating in the letter of rejection that it would have been possible to make the invention in the application by a skilled person in the art with the knowledge of the cited publication.

Respectfully submitted,



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September 14, 2004
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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. NMCI P040 Applicant: Tetsujiro Tada Filing Date July 9, 2003	Application No.: 10/616,574 Group 3723

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	J	5-12075	02/19/1993	JP				X
	K	2002-031897	01/31/2002	JP			X	
	L	2002-113648	04/16/2002	JP			X	
	M							
	N							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	O	
	P	
	Q	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.